

1. The first step is to identify the problem. This involves understanding the current situation and what needs to be changed.

A

PTO/SB/05 (2/98) (modified)
Approved for use through 9/30/2000, OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

NEW UTILITY PATENT APPLICATION TRANSMITTAL <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket Number 3159	
		First Named Inventor Eric S. Young	
		Total Pages in this Submission 37	
		Express Mail Label No. EM533088352US	

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed</p> <p>2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <input type="checkbox"/> Descriptive Title of the Invention <input type="checkbox"/> Cross Reference(s) to Related Case(s) <input type="checkbox"/> Statement Regarding Fed sponsored R & D <input type="checkbox"/> Background of the Invention <input type="checkbox"/> Brief Summary of the Invention <input type="checkbox"/> Brief Description of the Drawing(s) <input type="checkbox"/> Detailed Description <input type="checkbox"/> Claim or Claims <input type="checkbox"/> Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (<i>when necessary per 35 USC 113</i>)</p> <p>4. Oath or Declaration</p> <p style="margin-left: 20px;">a. <input checked="" type="checkbox"/> New Declaration <input checked="" type="checkbox"/> Executed</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i></p> <p style="margin-left: 40px;">i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference (<i>useable if Box 4b is checked</i>). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input checked="" type="checkbox"/> Assignment & PTO-1595</p> <p>7. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>8. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)</p> <p>9. <input type="checkbox"/> Preliminary Amendment</p> <p>10. Small Entity Statement <input type="checkbox"/> New Statement enclosed <input type="checkbox"/> Statement filed in prior application. Status still proper and desired</p> <p>11. <input checked="" type="checkbox"/> Return Postcard</p> <p>12. <input type="checkbox"/> _____</p> <p>13. <input type="checkbox"/> _____</p> <p>14. <input type="checkbox"/> _____</p> <p>15. <input type="checkbox"/> _____</p> <p>16. <input type="checkbox"/> _____</p>

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, D.C. 20231

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment:

☐ Continuation
 ☐ Divisional
 ☐ Continuation-in-part (CIP)
 of prior application No: ____/____

Prior application information: Examiner: _____
 Group/Art Unit: _____

18. CORRESPONDENCE ADDRESS					
NAME	Greg T. Sueoka Fenwick & West LLP				
ADDRESS	Two Palo Alto Square				
CITY	Palo Alto	STATE	CA	ZIP CODE	94306
COUNTRY	U.S.A.	TELEPHONE	(650) 858-7194	FAX	(650) 494-1417
Name (Print/Type)	Greg T. Sueoka			Registration No. (Attorney/Agent)	33,800
Signature				Date	April 15, 1998

0002/PTO(modified) Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Complete if Known <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td></td> </tr> <tr> <td>Filing Date</td> <td>April 15, 1998</td> </tr> <tr> <td>First Named Inventor</td> <td>Eric S. Young</td> </tr> <tr> <td>Group Art Unit</td> <td>Unknown</td> </tr> <tr> <td>Examiner Name</td> <td>Unknown</td> </tr> <tr> <td>Attorney Docket Number</td> <td>3159</td> </tr> </table>	Application Number		Filing Date	April 15, 1998	First Named Inventor	Eric S. Young	Group Art Unit	Unknown	Examiner Name	Unknown	Attorney Docket Number	3159
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TOTAL AMOUNT OF PAYMENT Subtotal (1) + Subtotal (2) + Subtotal (3) = (\$852.00)														

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																								
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 and 1.17 or credit any over payments to the below mentioned deposit account. † <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at mailing of the Notice of Allowance, 37 CFR 1.311(b) to the below mentioned deposit account. Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP A Duplicate Copy of this authorization is attached 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Other.	3. ADDITIONAL FEES <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee Code/Fee</th> <th>Small Entity Fee Code/Fee</th> <th>Fee Description</th> <th>Fee Due</th> </tr> </thead> <tbody> <tr> <td>105/\$130</td> <td>205/\$65</td> <td>Surcharge - late filing fee or oath</td> <td><input type="text"/></td> </tr> <tr> <td>127/\$50</td> <td>227/\$25</td> <td>Surcharge-late provisional filing fee or cover sheet</td> <td><input type="text"/></td> </tr> <tr> <td>147/\$2,520</td> <td>147/\$2,520</td> <td>For filing a request for reexamination</td> <td><input type="text"/></td> </tr> <tr> <td>115/\$110</td> <td>215/\$55</td> <td>Extension for response within first month†</td> <td><input type="text"/></td> </tr> <tr> <td>116/\$400</td> <td>216/\$200</td> <td>Extension for response within second month†</td> <td><input type="text"/></td> </tr> <tr> <td>117/\$950</td> <td>217/\$475</td> <td>Extension for response within third month†</td> <td><input type="text"/></td> </tr> <tr> <td>118/\$1,510</td> <td>218/\$755</td> <td>Extension for response within fourth month†</td> <td><input type="text"/></td> </tr> <tr> <td>128/\$2,060</td> <td>228/\$1,030</td> <td>Extension for response within fifth month†</td> <td><input type="text"/></td> </tr> <tr> <td>119/\$310</td> <td>219/\$155</td> <td>Notice of Appeal</td> <td><input type="text"/></td> </tr> <tr> <td>141/\$1,320</td> <td>241/\$660</td> <td>Petition to revive unintentionally abandoned application</td> <td><input type="text"/></td> </tr> <tr> <td>142/\$1,320</td> <td>242/\$660</td> <td>Utility Issue Fee (Or Reissue)</td> <td><input type="text"/></td> </tr> <tr> <td>143/\$450</td> <td>243/\$225</td> <td>Design Issue Fee</td> <td><input type="text"/></td> </tr> <tr> <td>122/\$130</td> <td>122/\$130</td> <td>Petitions to the Commissioner</td> <td><input type="text"/></td> </tr> <tr> <td>123/\$50</td> <td>123/\$50</td> <td>Petitions related to provisional applications</td> <td><input type="text"/></td> </tr> <tr> <td>126/\$240</td> <td>126/\$240</td> <td>Submission of Information Disclosure Statement</td> <td><input type="text"/></td> </tr> <tr> <td>581/\$40</td> <td>581/\$40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40.</td> </tr> <tr> <td>146/\$790</td> <td>246/\$395</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td><input type="text"/></td> </tr> <tr> <td>149/\$790</td> <td>249/\$395</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td><input type="text"/></td> </tr> <tr> <td colspan="2">Other fee (specify):</td> <td><input type="text"/></td> <td></td> </tr> <tr> <td colspan="2">Other fee (specify):</td> <td><input type="text"/></td> <td></td> </tr> <tr> <td colspan="3" style="text-align: right;">SUBTOTAL (3)</td> <td>(\$40.00)</td> </tr> </tbody> </table>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	<input type="text"/>	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>	147/\$2,520	147/\$2,520	For filing a request for reexamination	<input type="text"/>	115/\$110	215/\$55	Extension for response within first month†	<input type="text"/>	116/\$400	216/\$200	Extension for response within second month†	<input type="text"/>	117/\$950	217/\$475	Extension for response within third month†	<input type="text"/>	118/\$1,510	218/\$755	Extension for response within fourth month†	<input type="text"/>	128/\$2,060	228/\$1,030	Extension for response within fifth month†	<input type="text"/>	119/\$310	219/\$155	Notice of Appeal	<input type="text"/>	141/\$1,320	241/\$660	Petition to revive unintentionally abandoned application	<input type="text"/>	142/\$1,320	242/\$660	Utility Issue Fee (Or Reissue)	<input type="text"/>	143/\$450	243/\$225	Design Issue Fee	<input type="text"/>	122/\$130	122/\$130	Petitions to the Commissioner	<input type="text"/>	123/\$50	123/\$50	Petitions related to provisional applications	<input type="text"/>	126/\$240	126/\$240	Submission of Information Disclosure Statement	<input type="text"/>	581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)	40.	146/\$790	246/\$395	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>	149/\$790	249/\$395	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>	Other fee (specify):		<input type="text"/>		Other fee (specify):		<input type="text"/>		SUBTOTAL (3)			(\$40.00)
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SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Greg T. Sueoka	Reg. Number	33,800
Signature		Date	April 15, 1998

† Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby